



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Shafer, David A.

FILED: November 14, 2001

SERIAL NO.: 09/992,516

FOR: Expression Miniarrays and Uses
Thereof

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ART UNIT:

EXAMINER:

DOCKET:

D 6431

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Commissioner of Patents and Trademarks
Box DD
Washington, D.C. 20231

AUG 01 2002

TECH CENTER 1600/2900

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

Dear Sir:

I hereby certify under 37 CFR 1.8 that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to: Commissioner of Patents and Trademarks, BOX DD, Washington, DC 20231.

- 1) Information Disclosure Statement;
- 2) Information Disclosure Statement Cover Letter;
- 3) PTO Form 1449 (Four References cited); and
- 4) Return Postcard.

Please return the enclosed postcard acknowledging receipt of this correspondence.

Respectfully submitted,

Date:

Aug 24, 2002
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8011 Candle Lane
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Counsel for Applicant
Registration No. 35,423



U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

Information Disclosure
Statement

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U.S. PATENT DOCUMENTS

TECH CENTER 1600/2900

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
T.H.W. ↓	6,143,252	11/7/00	Haxo	422/131	422/63	4/12/99
	5,807,522	9/15/98	Brown	422/50	422/52	6/07/95
	6,083,763	7/4/00	Balch	436/518	422/62	12/31/97
	6,101,946	8/15/00	Martinsky	101/494	435/283.1	11/13/98

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Publication: Patent Cooperation Treaty (PCT)

Translation:

Document Number:

Date:

Country: Britain

Class Or Subclass

Patent:

Yes _ No _

Title:

OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)

Examiner

T. W. W. W. W.

Date Considered

3/10/03

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)



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#2

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c)
AND STATEMENT UNDER 37 CFR 1.97(e)(1)

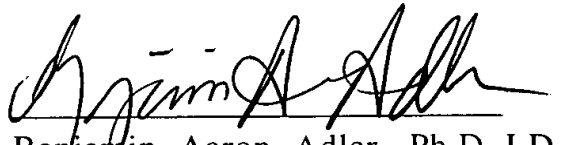
Dear Sir:

Enclosed herewith for filing under 37 C.F.R. 1.97(c) in the above-referenced matter is form PTO-1449 together with copies of the references listed therein. Applicants state that each item of information contained in the information disclosure statement herein was cited in a communication from a foreign application not more than three months prior to the filing of the information disclosure statement. If any questions remain, the Examiner is respectfully requested to call the undersigned attorney at (713)-270-5391.

Respectfully submitted,

Date: 7/24/02

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